

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

DN1999111USA

U.S. APPLICATION NO. (If known, see 37 CFR 1.5

**10/009696**

PRIORITY DATE CLAIMED

INTERNATIONAL APPLICATION NO.  
PCT/US99/10422

INTERNATIONAL FILING DATE  
May 02, 1999

TITLE OF INVENTION

AIRSPRING AND AIRSPRING RETAINER

APPLICANT(S) FOR DO/EO/US  
John Eric Arnold et al

NOV 06 2001



Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ has been communicated by the International Bureau.
  - c. ☒ is not required, as the application was filed in the United States Receiving Office (RO/US).
- ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☐ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
- ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
- ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
- ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
- ☒ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

**Items 11 to 20 below concern document(s) or information included:**

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A FIRST preliminary amendment.
14. ☐ A SECOND or SUBSEQUENT preliminary amendment.
15. ☐ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter2 and 35 U.S.C. 1.821 - 1.825
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information:
  - International Search Report
  - International Preliminary Examination Report

1009696-110601

U.S. APPLICATION NO. 10/009636 INTERNATIONAL APPLICATION NO. PCT/US99/10422

ATTORNEY'S DOCKET NUMBER  
DN199911USA

CALCULATIONS PTO USE ONLY

21. ☒ The following fees are submitted:

- BASIC NATIONAL FEE** (37 CFR 1.492 (a) (1) - (5)):  
Neither international preliminary examination fee (37 CFR 1.482)  
nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO  
and International Search Report not prepared by the EPO or JPO ..... \$1040.00  
International preliminary examination fee (37 CFR 1.482) not paid to  
USPTO but International Search Report prepared by the EPO or JPO ..... \$890.00  
International preliminary examination fee (37 CFR 1.482) not paid to USPTO  
but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$740.00  
International preliminary examination fee (37 CFR 1.482) paid to USPTO  
but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$710.00  
International preliminary examination fee (37 CFR 1.482) paid to USPTO  
and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$100.00  
**ENTER APPROPRIATE BASIC FEE AMOUNT** = \$ 890.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30  
months from the earliest claimed priority date (37 CFR 1.492(e)).

| CLAIMS             | NUMBER FILED | NUMBER EXTRA | RATE       |    |
|--------------------|--------------|--------------|------------|----|
| Total claims       | 9 - 20 =     | 0            | x \$18.00  | \$ |
| Independent claims | 1 - 3 =      | 0            | x \$84.00  | \$ |
|                    |              |              | + \$280.00 | \$ |

**TOTAL OF ABOVE CALCULATIONS** = \$

☐ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.

**SUBTOTAL** = \$

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30  
months from the earliest claimed priority date (37 CFR 1.492(f)).

**TOTAL NATIONAL FEE** = \$

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be  
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + \$

**TOTAL FEES ENCLOSED** = \$ 890.00

Amount to be  
refunded: \$  
charged: \$

a. ☐ A check in the amount of \$ \_\_\_\_\_ to cover the above fees is enclosed.  
in the amount of \$ 890.00 to cover the above fees.

b. ☒ Please charge my Deposit Account No. 07-1725  
A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any  
overpayment to Deposit Account No. 07-1725. A duplicate copy of this sheet is enclosed.

d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card  
information should not be included on this form. Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR  
1.137 (a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

SIGNATURE/

Nancy T Krawczyk

NAME

38,744

REGISTRATION NUMBER

cc: J E Grillo

10/0097878  
3613 Rec'd PCT/PTO 06 NOV 2001

# The Goodyear Tire & Rubber Company

Akron, Ohio 44316-0001

PATENT AND TRADEMARK DEPARTMENT  
66-487 OR 64-2550

November 6, 2001

CABLE ADDRESS "GOODYEAR AKRON" U.S.A. - TELEX

OUR REF: DN1999111USA

VIA U.S. EXPRESS MAIL

EL014690053US

Assistant Commissioner  
for Patents  
Washington, DC 20231

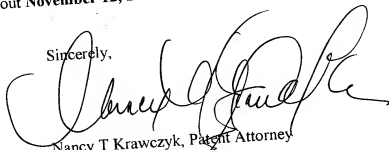
Re: International Patent Application No PCT/US99/10422

Dear Sirs:

Enclosed herewith is the necessary documentation for entering the National Phase for the subject patent application.

The national phase will begin on or about **November 12, 2001**.

Sincerely,



Nancy T Krawczyk, Patent Attorney  
Reg. No. 38,744

NTK:klh

Encls: Transmittal Letter Under 35 U.S.C. §371  
Declaration and Power of Attorney  
International Search Report (w/references)  
Information Disclosure Statement (Form PTO-1449)  
International Preliminary Examination Report

STREET ADDRESS: 1144 EAST MARKET STREET  
INTRA-CORPORATE TELEX: "ESL"

TELEPHONE: (330) 796-4787  
FACSIMILE: (330) 796-9018 (ATTN: MODEL 351019)